

PATENT

Docket H 3516 PCT/US

IC14 Rec'd PCT/PTO 27 NOV 2001

09/807948

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of Ranft et al.

International Application No. PCT/EP99/07683

International Filing Date: October 13, 1999

Serial No. 09/807,948

Examiner: To be assigned

Filed: To be assigned

Art Unit: To be assigned

TITLE: HOT-MELT ADHESIVE COMPONENT LAYERS FOR SMART CARDS

"Express Mail Post Office to Addressee" service mailing label number EL540669185US

TRANSMITTAL LETTER FOR SECOND SUBMISSION
UNDER 35 USC §371 AND RESPONSE TO NOTICE OF MISSING PARTS

BOX PCT
Assistant Commissioner for Patents
Washington, DC 20231

Attn: EO/DO/US

Sir:

This is in Response to the Notice of Missing Parts mailed on May 24, 2001.
Transmitted herewith for filing in the above-identified patent application is:

- ☐ An Amendment Responsive to the Office Action Dated _____.
- ☒ An Amendment Supplemental to the Preliminary Amendment filed April 20, 2001.
- ☒ Other: _____.
- ☐ _____ Sheet of Proposed Corrected Informal Drawings are enclosed.
- ☐ A Certified Copy of each of the following applications: _____
_____ is enclosed.

DOCKET NO.: H 3516 PCT/US
Serial No.: 09/807,948

PATENT

☐ An Associate Power of Attorney is enclosed.

☒ Information Disclosure Statement.

☒ Attached Form 1449.

☒ A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.

☐ Appended Material as follows: _____

☒ Other Material as follows: Copy of Forms PCT/DO/EO/905; PCT/DO/EO/917

☒ Executed Declaration (1 originals), (3 pgs. total) in response to Notice of Missing Parts

☒ Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for responding to the Notification of Missing Requirements mailed May 23, 2001 to and through November 24, 2001, comprising an extension of the shortened statutory period of 4 month(s).

☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 01-1250, Order No. 01-0830. This sheet is provided in duplicate.

DOCKET NO.: H 3516 PCT/US
Serial No.: 09/807,948

PATENT

FEE CALCULATION

[] No Additional Fee is Due.

				SMALL ENTITY		NOT SMALL ENTITY	
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	12	20 (20 MINIMUM)	0	\$9 EACH	\$	\$18 EACH	\$
INDEP. CLAIMS	1	3 (3 MINIMUM)	0	\$42 EACH	\$	\$84 EACH	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$140	\$	\$280	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME				\$460	\$	\$920	\$
<input checked="" type="checkbox"/> FOUR MONTH EXTENSION OF TIME				\$720	\$	\$1440	\$1440
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME				\$980	\$	\$1960	\$
<input type="checkbox"/> LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$)	minus	(\$)
<input type="checkbox"/> TERMINAL DISCLAIMER				\$55	\$	\$110	\$
<input checked="" type="checkbox"/> OTHER FEE OR SURCHARGE AS FOLLOWS: Missing Parts 37 CFR 1.492(e) <u>Deposit Account No. 01-1250 - Order No. 01- 0829</u>							\$130
TOTAL FEE DUE							\$1570

12/06/2001 LLANDGRA 00000018 011250 09807948

01 FC:118 1440.00 CH
02 FC:154 130.00 CH

DOCKET NO.: H 3516 PCT/US
Serial No.: 09/807,948

PATENT

[X] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. 01-1250, Order No. _____. This sheet is provided in duplicate.

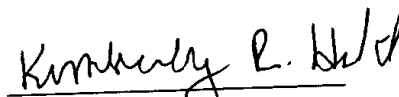
[X] The Foregoing Amount Due for Filing this Paper.

[X] Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

[X] Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to notify the undersigned.

Respectfully submitted,



Kimberly R. Hild
(Reg. No. 39,224)
Attorney for Applicant
(610) 278-4964

Date November 24, 2001
Henkel Corporation Patent Law Dept.
2500 Renaissance Boulevard, Suite 200
Gulph Mills, PA 19406.



UNITED STATES PATENT AND TRADEMARK OFFICE

KRL

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807948	RANFT P	H 3516 PCT/U
RECEIVED HENKEL LAW DEPT. INTERNATIONAL APPLICATION NO.		
ACTION INFO <u>BS/KU</u> PCT/EP99/07683		
REC'D MAY 29 2001 A. FILING DATE PRIORITY DATE		
DUE 13 OCT 99 22 OCT 98		
FILE <u>H3516 PCT/U</u>		
DATE MAILED: 24 MAY 2001		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|--|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920

Winston M Alvarado

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-6421



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/807948	RANFT	P H 3516 PCT/U
INTERNATIONAL APPLICATION NO.		
PCT/EP99/07683		
I.A. FILING DATE	PRIORITY DATE	
13 OCT 99	22 OCT 98	
DATE MAILED: 24 MAY 2001		

HENKEL CORPORATION
2500 RENAISSANCE BOULEVARD SUITE 200
GULPH MILLS, PA 19406

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371(c)(4) for entry into the national stage in the United States of America. The period within which to correct the deficiency noted below and avoid abandonment is set in the accompanying Notification.

A new oath or declaration, properly identifying this application (preferably by the international application number and international filing date) is required. The oath or declaration does not comply with 37 CFR 1.497(a),(b) and (f) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the application to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state that the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b), AND 1.497(d) WHERE APPROPRIATE, WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the mailing address of each inventor. If the residence is different from the mailing address, then the city and state or city and foreign country of residence of each inventor must also be given.
2. ☐ does not state that the person making the oath or declaration:
 - a. ☐ has reviewed and understands the contents of the application, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
 - b. ☐ acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate for which a claim for priority is made pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.

Winston M Alvarado

Telephone: 703-305-6421